

# SUBJECT INDEX LOG

Subject Name

Serial

DOB

Ra

Sex

SSN#

Burt Kaplan

Burt Kaplan

1

12p3

✓

M

✓

M

1

✓

M

12p3

✓

M

12p3

✓

M

b6  
b7C

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINY00

PCN 951637694304

- FBI IDENTIFICATION RECORD -

NAME	FBI NO.	DATE REQUESTED
KAPLAN, BURTON	501194F	06/15/95

SEX	RACE	BIRTH DATE	HEIGHT	WEIGHT	EYES	HAIR	BIRTH PLACE
M	W	10/11/33	508	165	BRO	BRO	NEW YORK

FINGERPRINT CLASS

16 14 PO PO 18

14 14 15 PI 18

1-DATE OF APPLICATION 03/17/52  
AGENCY-DIS NACC BALTIMORE (USDIS000Z)  
AGENCY CASE-NAVY  
CIVIL PRINT-NON-ARREST ENTRY 12/05/86

2-ARRESTED OR RECEIVED 03/07/66W(PRT REC)  
AGENCY-FBI NEW YORK (NYFBINY00)  
AGENCY CASE-15-38097  
SUSPECT

3-ARRESTED OR RECEIVED 07/14/93A  
AGENCY-FBI NEW YORK (NYFBINY00)  
AGENCY CASE-NY87086783  
RETURN ARREST PRINT-06/15/95

\*\*\*\*\*THIS PAGE SHOULD NOT BE DISSEMINATED OUTSIDE THE FBI\*\*\*\*\*  
END OF BUREAU SHEET

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINY00

PCN 951637694304

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UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINY00  
PART 5

PCN 951637694304

- FBI IDENTIFICATION RECORD - FBI NO-501194F

CHARGE-FRAUD PASSPORTS 1543-USMS #13103 053  
SENTENCE-  
DISMISSED

RECORD UPDATED 06/15/95

ALL ARREST ENTRIES CONTAINED IN THIS FBI RECORD ARE BASED ON  
FINGERPRINT COMPARISONS AND PERTAIN TO THE SAME INDIVIDUAL.

THE USE OF THIS RECORD IS REGULATED BY LAW. IT IS PROVIDED FOR  
OFFICIAL USE ONLY AND MAY BE USED ONLY FOR THE PURPOSE REQUESTED.

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINY00  
PART 4

PCN 951637694304

- FBI IDENTIFICATION RECORD - FBI NO-501194F

SENTENCE- 3Y CONFINEMENT  
CNTS FOR DISPOSEMENT UNK-IMPRISON-R AD-5 YEARS PROB COMM FR EASTERN  
D N Y

11-ARRESTED OR RECEIVED 04/24/85  
AGENCY-FBI NEW YORK (NYFBINY00)

CHARGE 1-CONSPIRACY DIST HEROIN

COURT-

DISPOSITION-  
CHARGE-CONSPIRACY DIST HEROIN  
SENTENCE-  
RELEASED

SUPPLEMENTAL COURT DATA-  
COURT-  
05/20/85 DISPOSITION-  
CHARGE-CONSPIRACY DIST HEROIN  
SENTENCE-  
DISMISSED

12-ARRESTED OR RECEIVED 07/14/93  
AGENCY-FBI NEW YORK (NYFBINY00)  
AGENCY CASE-NY87086783  
CHARGE 1-T18 USC 371 CONSPIRACY  
CHARGE 2-FRAUD PASSPORTS 1543-USMS #13103 053

COURT-

DISPOSITION-  
CHARGE-T18 USC 371 CONSPIRACY  
SENTENCE-  
DISMISSED  
DISPOSITION-

END OF PART 4 - PART 5 TO FOLLOW

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINY00  
PART 3

PCN 951637694304

- FBI IDENTIFICATION RECORD - FBI NO-501194F

04/05/74 DISPOSITION-  
CHARGE-CONSP-POSS GOODS STLN FROM INTERSTATE SHIPMENT  
SENTENCE-  
4YRS PAR PAR FROM CTC NY NY

8-ARRESTED OR RECEIVED 02/04/80  
AGENCY-DEA NEW YORK (NYDEA0300)  
AGENCY CASE-C1-80-0033  
CHARGE 1-CONSPIRACY  
CHARGE 2-QUAALUDE

9-ARRESTED OR RECEIVED 02/04/80A  
AGENCY-USM BROOKLYN (NYUSM0200)  
AGENCY CASE-SM13103053  
CHARGE 1-VIOLATION OF NARCOTICS LAWS

COURT-  
02/04/80 DISPOSITION-  
CHARGE-VIOLATION OF NARCOTICS LAWS  
SENTENCE-  
36 MOS PRISON FINE \$2,500 REL \$25 PRB

10-ARRESTED OR RECEIVED 02/19/81  
AGENCY-ALLENWD FED PRIS CMP MONTGOMERY (PA041017C)  
AGENCY CASE-13103-053  
CHARGE 1-CONSPIRACY  
CHARGE 2-POSS OF DEVICES USED MANUF METH SCH II C S

COURT-  
DISPOSITION-  
CHARGE-CONSPIRACY  
  
DISPOSITION-  
CHARGE-POSS OF DEVICES USED MANUF METH SCH II C S

END OF PART 3 - PART 4 TO FOLLOW

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINY00  
PART 2

PCN 951637694304

- FBI IDENTIFICATION RECORD - FBI NO-501194F

ISS 2 YRS PROB & \$500 F 2YRS PROB

3-ARRESTED OR RECEIVED 09/27/66  
AGENCY-POLICE DEPARTMENT NEW YORK (NY0303000)  
AGENCY CASE-489090  
CHARGE 1-FEL CRSP CRIM REC

4-ARRESTED OR RECEIVED 09/07/72  
AGENCY-USM BROOKLYN (NYUSM0200)  
AGENCY CASE-322USM2262  
CHARGE 1-THEFT FROM FOREIGN SHIPMENT

5-ARRESTED OR RECEIVED 09/07/72S (DATE FP)  
AGENCY-FBI NEW YORK (NYFBINY00)  
AGENCY CASE-1562628  
CHARGE 1-TFIS

COURT-  
12/08/72 DISPOSITION-  
CHARGE-CONSPIRACY TFIS  
SENTENCE-  
PG SENT 4YRS CUSTODY OF ATTY GEN

6-ARRESTED OR RECEIVED 02/09/73  
AGENCY-FED METRO CORR CNTR NEW YORK (NY030037C)  
AGENCY CASE-77715158  
CHARGE 1-POSS STLN I/S SHIPMENT

7-ARRESTED OR RECEIVED 02/15/73  
AGENCY-US PENITENTIARY LEWISBURG (PA060017C)  
AGENCY CASE-77715-158  
CHARGE 1-CONSP-POSS GOODS STLN FROM INTERSTATE SHIPMENT

COURT-

END OF PART 2 - PART 3 TO FOLLOW

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINY00

PCN 951637694304

BECAUSE ADDITIONS OR DELETIONS MAY BE MADE AT ANY TIME, A NEW COPY  
SHOULD BE REQUESTED WHEN NEEDED FOR SUBSEQUENT USE.

- FBI IDENTIFICATION RECORD - FBI NO-501194F

WHEN EXPLANATION OF A CHARGE OR DISPOSITION IS NEEDED, COMMUNICATE  
DIRECTLY WITH THE AGENCY THAT FURNISHED THE DATA TO THE FBI.

NAME	FBI NO.	DATE REQUESTED
KAPLAN, BURTON	501194F	06/15/95

SEX	RACE	BIRTH DATE	HEIGHT	WEIGHT	EYES	HAIR	BIRTH PLACE
M	W	10/11/33	508	165	BRO	BRO	NEW YORK

FINGERPRINT CLASS

16 14 PO PO 18

14 14 15 PI 18

1-ARRESTED OR RECEIVED 06/24/61  
AGENCY-POLICE DEPARTMENT NEW YORK (NY0303000)  
AGENCY CASE-B489090  
CHARGE 1-986 PL

COURT-

07/12/61 DISPOSITION-ACQUITTED-  
CHARGE-986 PL

2-ARRESTED OR RECEIVED 01/17/66  
AGENCY-USM BROOKLYN (NYUSM0200)  
AGENCY CASE-4723717  
CHARGE 1-INTERSTATE T-POSS

COURT-

09/27/68 DISPOSITION-  
CHARGE-TFIS  
SENTENCE-

END OF PART 1 - PART 2 TO FOLLOW



87D-86783 SUB E-51

Continuation of FD-302 of [REDACTED], On 10/7/93, Page 3

b6  
b7C  
b7D

[REDACTED] advised that [REDACTED]  
[REDACTED]

87D-86783 SUB E-51

Continuation of FD-302 of

[Redacted]

, On 10/7/93, Page 2

b6  
b7C  
b7D

[Redacted]

At this point in the interview, reporting Agent asked

[Redacted]

[Redacted] indicated that

[Redacted]

[Redacted]

- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/16/93

[redacted] under conditions of a proffer agreement with the United States Attorney's Office, Southern District of New York, consented to an interview, limited in scope, in the presence of reporting Agent, Assistant United States Attorney (AUSA) [redacted] and [redacted]

b6  
b7C  
b7D

[redacted]  
[redacted]  
[redacted]

[redacted] advised that [redacted]

[redacted]

[redacted] advised that [redacted]

[redacted]

Investigation on 10/7/93 at New York, New YorkFile # 29B-NY-259359-ES1-161  
87D-86783-Sub-E-51-152by SA [redacted] axhDate dictated 10/14/93b6  
b7C

87D-NY-86783 SUB E-51

Continuation of FD-302 of Confidential Witness (CW), On 7/7/93, Page 2

[redacted] suggested to  
KAPLAN [redacted]  
[redacted]

b6  
b7C  
b7D

CW provided reporting Agent with details as to the  
[redacted]

- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 7/14/93

A Confidential Witness (CW), provided the following information concerning BURT KAPLAN and a purchase of fraudulent passports [redacted]

b6  
b7C  
b7D

CW advised [redacted]

KAPLAN [redacted]

[redacted] KAPLAN [redacted]

(telephonically)

Investigation on 7/7/93 at New York, New York File # 87D-NY-86783 SUB E-51

by SA [redacted] ixs

Date dictated 7/15/93

**STATISTICAL ACCOMPLISHMENTS**  
**Criminal Informant/Cooperative Witness (CI/CW)**

1. Number of Subjects Arrested:
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
2. Number of Subjects/Victims Identified and/or Located:
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
3. Number of Investigative Matters Initiated:
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
4. Number of Disseminations Based Upon CI/CW Information:
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
5. Number of Violent Acts Prevented: \_\_\_\_\_
6. Number of Times CI/CW Information Used in Title III Affidavits:
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
7. Number of Times CI/CW Information Used in Search Warrant Affidavits:
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
8. Number of Times CI/CW Information Used in Obtaining Complaint/Information/Indictment:
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
9. Merchandise Recovered (Value):
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
10. Asset/Property Seized (Value at Time of Seizure):
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
11. Monetary Value of Asset/Property Actually Forfeited to Government: \$ \_\_\_\_\_
12. Number of Convictions Obtained as a Result of Information Furnished by CI/CW or as a Result of other Significant Operational Assistance Furnished:
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
13. Number of Times Undercover Agent or Other Law Enforcement Officer Introduced into an Investigative Matter by CI/CW:
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
14. Drugs Recovered (Wholesale Value):
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_
15. Number of Consensually Monitored Conversations CI/CW participated in:
  - a. FBI \_\_\_\_\_
  - b. Other Federal Agencies \_\_\_\_\_
  - c. State and Local Agencies \_\_\_\_\_

## Memorandum



To : SAC NEW YORK [redacted]

Date 9/7/93

From : SA [redacted] (C-3)

Subject: [redacted]

b2  
b6  
b7C  
b7D

## Dates of Contact

7/7/93

## File #s on which contacted (Use Titles if File #s not available)

87D-86783 SUB E-51

## Purpose and results of contact

- ☐ NEGATIVE  
☒ POSITIVE  
☐ STATISTIC

Description of  
Statistical Accomplishment

SEE ATTACHED:

## Title of Case

## File No.

29B-NY-259359-E61-155  
~~87D-86783 SUB E-51-146~~

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 14 1993	
FBI - NEW YORK	

Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing.

## PERSONAL DATA

1 - [redacted] (ADM)  
 1 - [redacted] (INV)

1 - CIMS  
 1 - 87D-86783 SUB E-51

MPK:jxs  
 (4)

^PAGE 3 NEW YORK 87D-86783

UNCLAS

BUREAU, 12 HARBOUR ROAD, WANCHAI, HONG KONG, POSSIBLE

TELEPHONE [REDACTED]

CONFIRM CCB EXECUTION OF SEARCH WARRANT ON NOVEMBER 8,  
1991 AT [REDACTED]

[REDACTED] ASCERTAIN FACTS  
SURROUNDING THEIR INVESTIGATION OF [REDACTED] RELATIVE TO SUSPECTED  
FORGED TRAVEL DOCUMENTS (PASSPORTS) AND RESULTS OF THEIR  
INVESTIGATION.

BT

b6  
b7C



[REDACTED]

b7D

[REDACTED] KAPLAN PURCHASED SEVERAL BRAZILIAN AND PERUVIAN PASSPORTS FOR [REDACTED] WHO ALLEGEDLY REPRESENTED OVER 100,000 HONG KONG RESIDENTS. DEFENSE HAS CONCEDED THAT [REDACTED] WAS SUBJECT OF COMMERCIAL CRIME BUREAU (CCB) SEARCH EXECUTED NOVEMBER 8, 1991 BY DETECTIVE INSPECTOR [REDACTED] AND THAT CCB SEIZED ONE PERU PASSPORT (POSSIBLY SUPPLIED BY KAPLAN). DEFENSE STATED THAT HONG KONG AUTHORITIES FOUND NO WRONG DOING DURING THEIR INVESTIGATION *and closed matter with no prosecution.*

b6  
b7C

AUSA [REDACTED] SOUTHERN DISTRICT OF NEW YORK, REQUESTS EXPEDITIOUS CONTACT WITH HONG KONG AUTHORITIES TO DETERMINE NATURE OF THEIR INQUIRY AS IT MAY HAVE SUBSTANTIAL IMPACT ON NEW YORK CASE. DUE TO TIME CONSTRAINTS FOR CONTINUED PROSECUTIVE ACTION, RESPONSE IS REQUESTED NO LATER THAN SEPTEMBER 20, 1993.

LEADS:

LEGAT HONG KONG:

LEGAT HONG KONG REQUESTED TO CONTACT DETECTIVE INSPECTOR

[REDACTED] OR SENIOR INSPECTOR [REDACTED] COMMERCIAL CRIME

FBI

## TRANSMIT VIA:

☒ Teletype  
☐ Facsimile  
☐ AIRTEL

## PRECEDENCE:

☐ Immediate  
☐ Priority  
☒ Routine

## CLASSIFICATION:

☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☒ UNCLAS

Date 9/3/93

FM FBI NEW YORK (NY 87D-86783) (SUB E-51) (P) (C-3)

TO DIRECTOR FBI/ROUTINE/

LEGAT HONG KONG/ROUTINE/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR OLIA.

SUBJECT: SOFT DOLLARS; ITSP; OO:NY (SUB E-51).

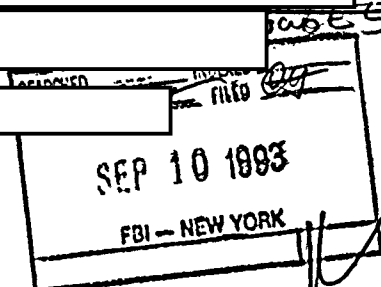
FOR INFORMATION OF LEGAT HONG KONG, CAPTIONED MATTER IS  
 LONG TERM GROUP I UCO; SUBFILE E-51 IS REGARDING THE  
 PROSECUTION OF SUBJECT BURT KAPLAN AND OTHERS INVOLVED IN  
 CONSPIRACY TO TRAFFIC IN FRAUDULENT PASSPORTS. KAPLAN,  
 ASSOCIATE OF ORGANIZED CRIME, WAS ARRESTED BY NYO ON JULY 14,  
 1993, ON THOSE CHARGES.

JULIAN-250350-FBI-154

*Edited*  
*KAM*

[REDACTED] b6  
 [REDACTED] b7C  
 [REDACTED] b7D

1-NY  
 1-Supr. C-3  
 MPK: KAM007W. 846



Approved: [Signature] Original filename: KAM007W. 846  
 Time Received: [Signature] Telprep filename: KAM007SO. 846  
 MRI/JULIAN DATE: 1471/250 ISN: 029  
 FOX DATE & TIME OF ACCEPTANCE: 2318

SENSITIVE

KAPLAN, BURT

NO: 001  
REC-NO: 001

DDN:

TRUE:

FO: NY CASE NO: 087D-0086783-E51

NAME TYPE: MAIN REF: M EVENT DATE:

OO: OO CASE NO:

VIOLATION: ITSP

SERIALS: 12P3;14;126P2

INDEXED: 101891

MODIFIED: 032993

SPECIAL:

RACE: ~~U~~ SEX: M

ID-NO:

~~DOB:~~ POB:

~~STREET-NO:~~ NAME:

CITY: NEW YORK

ST: NY

COUNTRY:

ZIP:

LOC: NY

MISCELLANEOUS: SQD/C3

CLASSIFICATION LEVEL: SN

CLASSIFIED BY:

DECLASSIFY ON:

<GO> -NEXT INDEX

F1 -REQUERY

SHIFT-F1 -CASE INFO

F3 -SUMMARY

F6 -ADD INDEX

F7 -ADD ALIAS

F8 -DELETE

F9 -MODIFY SHIFT-F10 -FOIMS

F10 -INDEX

32

4B#

NUM ↑

# Supplemental Page to the Accomplishment Report (FD-515) for Organized Crime Program (OCP) Matters Only

This supplemental page is required with the FD-515 reporting an indictment and/or conviction of a subject of an OCP case. A separate page is required for each subject indicted and/or convicted. The completion of Section A - D is mandatory. The other sections should be completed when applicable.

Name of Subject BURTON KAPLAN

Field Office NY

Field Office File No. NY 87D-86783 (SUB-E-51)

Criminal Activity - Indicate the primary criminal activity which resulted in the reported indictment and/or conviction. (Indicate only one activity.)

☐ Labor Racketeering (LRK) (See Section E and G if applicable)

☐ Corruption (COR) (See Section F if applicable)

☐ Illegal Gambling (IGM)

☒ Other (OT), specify FRAUDULENT PASSPORTS

☐ Extortion (EXT)

☐ Loansharking (LNS)

☐ Drugs (DRS)

Organized Criminal Group

1. LCN: ☐ Member (MEM) ☒ Associate (ASO)

☐ BU

☐ CG

☐ CV

☐ DN

☐ DE

☐ KC

☐ LA

☐ MI

☐ NK - De Cavalcante

☐ NE - New England - Patriarca

☐ NO

☐ NY - Bonanno (BO)

☐ NY - Colombo (CO)

☐ NY - Gambino (GA)

☐ NY - Genovese (GE)

☒ NY - Luchese (LU)

☐ PH

☐ PX

☐ PG

☐ PI - Pittson - Bufalino

☐ RC - Rochester

☐ SF

☐ SO - San Jose

☐ SL

☐ TP

Position:

☐ Boss

☐ Underboss (UBS)

☐ Consigliere (CNS)

☐ Acting Boss (ABS)

☐ Capo (CPO)

☐ Soldier (SOL)

2. Other Non-LCN OC Groups, specify \_\_\_\_\_

☐ Member (MEM) ☐ Associate (ASO)

Business Influenced/Affected (If applicable) Indicate below if the subject's criminal activity influenced or affected a particular trade or industry:

☐ Toxic Waste (TW)

☐ Building Trades (BT)

☐ Entertainment (ET)

☐ Hotel/Restaurant (HR)

☐ Carting (CR)

☐ Meat/Poultry/Fish (MT)

☐ Garment (GR)

☐ Vending (VN)

☐ Shipping (SH)

☐ Trucking/Trans (TT)

☐ Other (OT) Specify \_\_\_\_\_

Name of company subject connected with \_\_\_\_\_

Elected/Appointed Public Officials - Complete if subject was a public official at time of indictment and/or conviction. Indicate one from each category.

Level: ☐ Federal (FD) ☐ State (ST) ☐ Local (LO)

Branch: ☐ Executive (EX) ☐ Legislative (LE) ☐ Judicial (JD)

Position/Title:

☐ Governor (GV)

☐ Mayor (MY)

☐ City

☐ House of Rep/

☐ Prosecutor (PR)

☐ Lt. Governor (LG)

☐ County Comm (CC)

☐ Senator/Staff (SE)

☐ Judge/Magistrate (JM)

☐ Law Enforcement

☐ Other (OT), specify \_\_\_\_\_

Union Members or Officials - If the subject was a Union member or official at the time of indictment and/or conviction, indicate the highest position the subject held/holds in the Union and the Union's name.

Name of Union \_\_\_\_\_

Union Affiliation:

☐ Teamsters

☐ Hotel and Restaurant Employee

☐ Laborers International

☐ Longshoremen's Association

☐ Other, specify \_\_\_\_\_

Level: ☐ International ☐ Conference ☐ Council ☐ Local - Local No. \_\_\_\_\_

Position:

☐ Pres (PR)

☐ Vice Pres (VP)

☐ Tres (TR)

☐ Other (OT), specify \_\_\_\_\_

☐ Sec/Treas (ST)

☐ Ex Brd Memb (EB)

☐ Bus Agt (BA)

☐ Repr (RP)

☐ Orgzr (OR)

☐ Bus Mgr (BM)

☐ Fin Sec (FS)

☐ Rec Sec (RS)

☐ Off Mgr (OM)

☐ Clerk (CL)

☐ Shop Stew (SS)

☐ Mem'b (ME)

☐ Trustee (TT)

# Accomplishment Report

(Submit within 30 days from date of accomplishment)

Report Date: 8/13/93

From: SAC, II New York

Does Accomplishment Involve:  
(check all that apply)

Drugs ☐

A Fugitive ☐

Bankruptcy Fraud ☐

Computer Fraud/Abuse ☐

Corruption of Public Officials ☐

Forfeiture Assets ☐

Assisting Agents Soc. Sec. No. X

1. - -

2. - -

☐ Squad Supervisor  
approval (please initial)

File Number  
NY 870-86783  
(Sub E-51)  
Stat Agent Soc Sec No.

RA Squad  
C-3

Task Force

Assisting Joint Agencies X\*

1.

2.

Investigative Assistance or Technique Used					
Rating		Rating		Rating	
IAT		IAT		IAT	
Financ. Analyst Asst.		Informant Info.		Tech. Agt. or Equip.	
Aircraft Assistance		Law. Div. Exams		Telephone Toll Recs.	
Computer Assistance		Lab. Div. Field Supt.		UCO - Group I	
Consensual Monitor		Pen Registers		UCO - Group II	
ELSUR / RSC		Photograph Coverage		UCO - Other	
ELSUR / Title III		Polygraph Assistance		NCAVC / VI - CAP	
Eng. Sect. Field Supt.		Search Warrants Exec.		Visual Invest. Anal.	
Eng. Sect. Tape Exams		Show Money Usage		Crisis Neg. - Fed.	
Hypnosis Assistance		SOG Assistance		Crisis Neg. - Local	
Ident Div. Assistance		Swat Team Action			

Accomplishment type *	Accomplishment Date	Serial No. of FD-515
ARREST	7-14-93	

A. Complaint / Information / Indictment  
Also Complete Section I  
Serial number \_\_\_\_\_  
Check if Civil / Rico Complaint ☐

B. Arrest / Locate / Summons

Arrest

☒ Federal ☐ Local

Subject Priority: ☐ A ☒ B ☐ C

☐ Subject Resisted

☐ Subject was Armed

Locate

Subject Priority: ☐ A ☐ B ☐ C

Summons

☐ Federal ☐ Local

C. Hostage(s) Released

Released by: ☐ Terrorists ☐ Other

Number of Hostages: \_\_\_\_\_

H. Final Judicial Process

Conviction

Also Complete Section I

Date of Complaint, Information or Indictment: \_\_\_\_/\_\_\_\_/\_\_\_\_

Date of Conviction: \_\_\_\_/\_\_\_\_/\_\_\_\_

Date of Sentence: \_\_\_\_/\_\_\_\_/\_\_\_\_

Subject Description Code: \_\_\_\_\_

Judicial State: \_\_\_\_\_ District: \_\_\_\_\_

Sentence type: \_\_\_\_ X \*

Sentence Term: \_\_\_\_\_

☐ Federal  
☐ Local

☐ Felony  
☐ Misdemeanor

☐ Plea  
☐ Trial

In-Jail Years Months	Suspended Years Months	Probation Years Months

Fines: \$ \_\_\_\_\_

## D. Recovery / Restitution / Potential Economic Loss Prevented (PELP) X \*

Recovery

☐ Federal ☐ Local

Restitution

☐ Court Ordered  
☐ Pretrial Agreement

Code *	Amount	Code *	Amount
	\$		\$
	\$		\$
	\$		\$

## E. Civil / Rico Matters

Also Complete Section I

Date of Complaint: \_\_\_\_/\_\_\_\_/\_\_\_\_

Judgment \_\_\_\_\_

Judicial Outcome \_\_\_\_ X \*

Fines: \$ \_\_\_\_\_

Suspension: 

Years	Months

## F. AFA Payment

Amount: \$ \_\_\_\_\_

## G. Administrative Sanctions

Type: ☐ Suspension ☐ Permanent

☐ Debarment ☐ Years Months

☐ Injunction

Subject Description Code: \_\_\_\_\_

## I. U.S. Code Violations

Title	Section	Counts	Title	Section	Counts
18	1543	1	29A	NY-29A359-E61	

Required for Sections A, E, and H (Conviction) 870-86783 Sub E-51

Optional for Section B (arrest)

## Acquittal / Dismissal / Pretrial Diversion

Date of Indictment or Information: 7/16/17 1993

Subject Description Code: \_\_\_\_\_

FBI - NEW YORK

## J. Subject Information (Required for Sections A, B, D (Restitution), E, F, G, and H)

Name	Date of Birth	Race *	Sex	Place of Birth (if available)	Social Security No. (if available)
BURTON KAPLAN	10/11/33	W	M	BROOKLYN, NY	131-26-1015

☐ Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (if checked, also submit form FD-515a)

\* Additional information may be added by attaching another form or a plain sheet of paper for additional entries.

Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)

See codes on reverse side. 1-870-86783 (Sub E-51) 1-870-86783 (Sub I) 1-66-8492 1-Sup C-3

PAGE THREE DE FBINY 0004 UNCLAS

CRIMINAL HISTORY: EXTENSIVE, FROM 1961 THROUGH 1985,  
INCLUDING THEFT FROM INTERSTATE  
SHIPMENT AND NARCOTICS DISTRIBUTION.

OCCUPATION: SELF-EMPLOYED, CLOTHING IMPORTER-EXPORTER.

BT

#0004

NNNN

PAGE TWO DE FBINY 0004 UNCLAS

UNDERCOVER OPERATION WHICH WAS PREDICATED UPON INFORMATION RECEIVED FROM A COOPERATING WITNESS, RESULTING IN FIVE DEFENDANT GUILTY PLEAS.

SUBJECT KAPLAN IS A KNOWN ASSOCIATE OF NEW YORK ORGANIZED CRIME FAMILIES AND WAS INVOLVED IN THE PURCHASE AND SALE OF NUMEROUS FRAUDULENTLY OBTAINED PASSPORTS.

DESCRIPTIVE DATA AS FOLLOWS:

NAME: BURTON KAPLAN  
ALIAS: BURT KAPLAN  
SEX: MALE  
RACE: WHITE  
DOB: OCTOBER 11, 1933  
POB: BROOKLYN, NEW YORK  
SSAN: 131-26-1015  
ADDRESS: 2147 85TH STREET, BROOKLYN, NEW YORK  
HEIGHT: 5'8"  
WEIGHT: 160 LBS.  
EYES: BROWN  
HAIR: BROWN  
BUILD: MEDIUM  
SCARS: RIGHT FOREARM AND ABDOMEN

0056 MRI 00962

RR FBINY

DE FBINY #0004 2021536

ZNR UUUUU

R 211525Z JUL 93

FM FBI NEW YORK (87D-86783) (SUB E-51) (P) (C-3)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

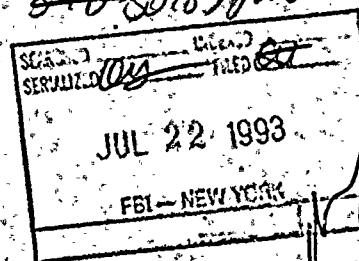
CITE: //3540//

PASS: HQ FOR ECONOMIC CRIMES UNIT, SSA [REDACTED]

SUBJECT: SOFT DOLLARS; ITSP; OO:NY.

FOR INFORMATION OF THE BUREAU, SUBJECT BURTON KAPLAN WAS  
ARRESTED WITHOUT INCIDENT BY BUREAU AGENTS, NYO, ON JULY 14,  
1993 ON A BENCH WARRANT PURSUANT TO A ONE COUNT CRIMINAL  
INDICTMENT FOR VIOLATION OF TITLE 18, USC, SECTION 371  
(CONSPIRACY) TO VIOLATE TITLE 18, USC, SECTION 1543  
(TRAFFICKING IN FRAUDULENT PASSPORTS), WITHIN THE SOUTHERN  
DISTRICT OF NEW YORK.

THIS INDICTMENT EMANATED FROM CAPTIONED GROUP I





^PAGE 3 NEW YORK 87D-86783 SUB E-51

UNCLAS

CRIMINAL HISTORY: EXTENSIVE, FROM 1961 THROUGH 1985,  
INCLUDING THEFT FROM INTERSTATE  
SHIPMENT AND NARCOTICS DISTRIBUTION.

OCCUPATION: SELF-EMPLOYED, CLOTHING IMPORTER-EXPORTER.

BT

^PAGE 2 NEW YORK 87D-86783 SUB E-51

UNCLAS

RECEIVED FROM A COOPERATING WITNESS, RESULTING IN FIVE  
DEFENDANT GUILTY PLEAS.

SUBJECT KAPLAN IS A KNOWN ASSOCIATE OF NEW YORK ORGANIZED  
CRIME FAMILIES AND WAS INVOLVED IN THE PURCHASE AND SALE OF  
NUMEROUS FRAUDULENTLY OBTAINED PASSPORTS.

DESCRIPTIVE DATA AS FOLLOWS:

NAME: BURTON KAPLAN

ALIAS: BURT KAPLAN

SEX: MALE

RACE: WHITE

DOB: OCTOBER 11, 1933

POB: BROOKLYN, NEW YORK

SSAN: 131-26-1015

ADDRESS: 2147 85TH STREET, BROOKLYN, NEW YORK

HEIGHT: 5'8"

WEIGHT: 160 LBS.

EYES: BROWN

HAIR: BROWN

BUILD: MEDIUM

SCARS: RIGHT FOREARM AND ABDOMEN

FBI

## TRANSMIT VIA:

☒ Teletype  
☐ Facsimile  
☐ AIRTEL

## PRECEDENCE:

☐ Immediate  
☐ Priority  
☒ Routine

## CLASSIFICATION:

☐ TOP SECRET  
☐ SECRET  
☐ CONFIDENTIAL  
☐ UNCLAS E F T O  
☒ UNCLAS

Date 7/19/93

FM FBI NEW YORK (87D-86783) (SUB E-51) (P) (C-3)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR ECONOMIC CRIMES UNIT, SSA b6  
b7C

SUBJECT: SOFT DOLLARS; ITSP; OO:NY.

FOR INFORMATION OF THE BUREAU, SUBJECT BURTON KAPLAN WAS  
 ARRESTED WITHOUT INCIDENT BY BUREAU AGENTS, NYO, ON JULY 14,  
 1993 ON A BENCH WARRANT PURSUANT TO A ONE COUNT CRIMINAL  
 INDICTMENT FOR VIOLATION OF TITLE 18, USC, SECTION 371  
 (CONSPIRACY) TO VIOLATE TITLE 18, USC, SECTION 1543  
 (TRAFFICKING IN FRAUDULENT PASSPORTS), WITHIN THE SOUTHERN  
 DISTRICT OF NEW YORK.

THIS INDICTMENT EMANATED FROM CAPTIONED GROUP I  
 UNDERCOVER OPERATION WHICH WAS PREDICATED UPON INFORMATION

1-NY  
 1-Supv. C-3  
 MPK: KAW009W.200

29B-NY-259359-E61-150

~~87D-86783 Sub E-51 141~~

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 22 1993	
FBI - NEW YORK	

Approved: JMKOriginal filename: KAW009W.200

Time Received: \_\_\_\_\_

Telprep filename: KAW009W.200MRI/JULIAN DATE: 962/200ISN: 004FOX DATE & TIME OF ACCEPTANCE: 1526

- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 7/19/93

At the time of his arrest in the driveway of his residence at 2147 85th Street, Brooklyn, New York, BURTON KAPLAN was carrying in his hands a black briefcase and a group of checks with a deposit slip.

The briefcase was seized from KAPLAN, and its contents were searched pursuant to KAPLAN's arrest. At the time the briefcase was seized from KAPLAN, it was unlocked.

A review of the contents of the briefcase disclosed that it contained numerous documentary items, including a telephone address book, and various sheets of paper. In addition, the briefcase contained a portable telephone.

The telephone/address book, the deposit slip and related checks and the numerous slips of paper in the briefcase were copied.

In addition, at the time of his arrest, KAPLAN was carrying a wallet. The contents of the wallet were also copied.

Investigation on 7/14/93 at Brooklyn, New York File # 29B-NY-259359-E51-149

by SA [redacted] jkl Date dictated 7/14/93

b6  
b7C

NY 87D-86783 Sub E-51

Continuation of FD-302 of BURTON KAPLAN, On 7/14/93, Page 3

Build: Medium  
Scars: On right forearm and abdomen  
Social Security Account Number: 131-26-1015  
Employment: Self employed PISCES  
TRADING  
1501 Broadway  
New York, New York (since  
1985)  
Warehouse address:  
128 Port Richmond Avenue  
Staten Island, New York  
Occupation: Clothing importer/exporter/  
wholesaler  
FBI#: 501194F  
Former Address: 595 Vanderbilt Avenue  
Brooklyn, New York  
Wife:  
Mother:   
Automobiles: 1992 Buick, New York license  
plate 3HS777   
Criminal History: Various, including a theft  
from interstate shipment and  
narcotics charges.

b6  
b7C

NY 87D-86783 Sub E-51

Continuation of FD-302 of BURTON KAPLAN, On 7/14/93, Page 2

[ ] advised that he is reporting Agent's supervisor. KAPLAN indicated that he and [ ] go way back, KAPLAN having been initially arrested by [ ] for a theft from interstate shipment case. KAPLAN stated that he wished a favor, in that next time this occasion should arise, tell [ ] to call his lawyer or just ring the doorbell and he would come out without hesitation, although not with his briefcase. KAPLAN stated that was probably why arresting Agents waited for him to leave his house. KAPLAN indicated that there were 9,000 documents to show that the passport deal is legitimate. He stated that [ ] knows these passports are legitimate and he has tape recorded conversations with [ ] KAPLAN further stated that the case against [ ] was also "bullshit", indicating that the threats he made on behalf of [ ] did not serve [ ] best interest. SA [ ] advised that [ ] was not prosecuted in that regard.

b6  
b7C

At approximately 9:30 am, upon arrival at 26 Federal Plaza, background information was completed and inventory taken of KAPLAN's personal belongings.

At approximately 10:00 am, KAPLAN was fingerprinted and photographed at 26 Federal Plaza.

At approximately 10:40 am, SA [ ] took KAPLAN to the SDNY where he was processed at Pre-trial Services and then the United States Marshal's Services at approximately 12:05 pm.

At approximately 3:00 pm, KAPLAN was afforded a bail hearing and was released upon meeting the conditions of bail.

The following background information obtained through observation and interview:

Name:	<u>BURTON KAPLAN</u>
Alias:	<u>Burt Kaplan</u>
Date of Birth:	<u>October 11, 1933</u>
Place of Birth:	<u>Brooklyn, New York</u>
Race:	<u>White</u>
Sex:	<u>Male</u>
Height:	<u>5'8"</u>
Weight:	<u>160 pounds</u>
Eyes:	<u>Brown</u>
Hair:	<u>Brown, balding</u>

- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 7/27/93

BURTON KAPLAN was arrested pursuant to a warrant issued by Magistrate Judge LEONARD BERNIKOW, Southern District of New York (SDNY), for violation of Title 18, United States Code (USC), Section 371 (Conspiracy to Traffic in Fraudulent Passports), Title 18, USC, Section 1543. The warrant was issued pursuant to a Federal Grand Jury indictment of KAPLAN on this violation. The events of the arrest took place as follows:

At approximately 8:35 am, KAPLAN was observed exiting his residence located at 2147 85th Street, Brooklyn, New York. The reporting Agents identified themselves to KAPLAN at which time he was placed under arrest and taken to a Federal Bureau of Investigation (FBI) vehicle. KAPLAN asked Special Agent (SA) [redacted] the basis for the arrest. SA [redacted] informed KAPLAN of the warrant and indictment. KAPLAN stated that they were "bullshit charges."

At approximately 8:40 am, KAPLAN was transferred from one FBI vehicle to another FBI vehicle at the end of the block, and transported to the federal building in Manhattan by SAs [redacted]. KAPLAN was advised of his constitutional rights as read by SA [redacted] from form FD-395. KAPLAN stated that he understood his rights and wished not to make waiver of those rights at that time. KAPLAN was not questioned in regards to these charges, however, he made the following comments, unsolicited by reporting Agents:

KAPLAN again advised that these were "bullshit charges and the FBI could get him dead-to-rights on eighty different things but this bullshit passport matter is legit." KAPLAN indicated that he knew of the informants in this matter and that they had numerous meetings concerning the passports wherein it was stated that it was a legitimate deal. SA [redacted] informed KAPLAN that SA [redacted] will not comment on those statements since he has declined to waive his rights. KAPLAN then advised he understood but just wanted to get that off his chest. KAPLAN asked reporting Agents about Special Agent [redacted] wherein SA

SEARCHED INDEXED  
SERIALIZED FILED

Investigation on 7/14/93 at Brooklyn, New YorkFile # NY 87D-86783 Sub E-51-148by SAs [redacted]  
[redacted] MPK/jklDate dictated 7/20/93

# Accomplishment Report

(Submit within 30 days from date of accomplishment)

Report Date: 7-18-93From: SAC, II New York

Does Accomplishment Involve:  
(check all that apply)

Drugs ☐

A Fugitive ☐

Bankruptcy Fraud ☐

Computer Fraud/Abuse ☐

Corruption of Public Officials ☐

Forfeiture Assets ☐

File Number  
NY 87D-86783  
(Sub E-51)

Stat Agent Soc. Sec. No.  
\_\_\_\_\_

RA          Squad C-3

Task Force  
\_\_\_\_\_

Assisting Joint Agencies \*  
1. \_\_\_\_\_  
2. \_\_\_\_\_

Assisting Agents Soc. Sec. No. \*  
1. \_\_\_\_\_  
2. \_\_\_\_\_

☐ Squad Supervisor approval (please Initial)

Investigative Assistance or Technique Used							
1 = Used, but did not help		2 = Helped, minimally		3 = Helped, substantially		4 = Absolutely essential	
Rating	IAT	Rating	IAT	Rating	IAT	Rating	IAT
	Financ. Analyst Asst.		Informant Info.		Tech. Agnt. or Equip.		
	Aircraft Assistance		Lat. Div. Exams		Telephone Toll Recs.		
	Computer Assistance		Lab. Div. Field Supt.		UCO - Group I		
	Consensual Monitor		Pen Registers		UCO - Group II		
	ELSUR / FISC		Photograph Coverage		UCO - Other		
	ELSUR / Title III		Polygraph Assistance		NCAVC / VI - CAP		
	Eng. Sect. Field Supt.		Search Warrants Exec.		Visual Invest. Anal.		
	Eng. Sect. Tape Exams		Show Money Usage		Crisis Neg. - Fed.		
	Hypnosis Assistance		SOG Assistance		Crisis Neg. - Local		
	Ident Div. Assistance		Swat Team Action				

Accomplishment type \* INDICTMENT Accomplishment Date 7-8-93 Serial No. of FD-515 \_\_\_\_\_

A. Complaint / Information (Indictment)  
Also Complete Section I  
Serial number \_\_\_\_\_  
Check if Civil / Rico Complaint ☐

B. Arrest / Locate / Summons  
Arrest  
☐ Federal ☐ Local \*  
Subject Priority: ☐ A ☐ B ☐ C  
☐ Subject Resisted  
☐ Subject was Armed  
Locate  
Subject Priority: ☐ A ☐ B ☐ C  
Summons  
☐ Federal ☐ Local \*

C. Hostage(s) Released  
Released by: ☐ Terrorists ☐ Other  
Number of Hostages: \_\_\_\_\_

## H. Final Judicial Process

## Conviction

Also Complete Section I

Date of Complaint, Information or Indictment: \_\_\_\_/\_\_\_\_/\_\_\_\_

Date of Conviction: \_\_\_\_/\_\_\_\_/\_\_\_\_

Date of Sentence: \_\_\_\_/\_\_\_\_/\_\_\_\_

Subject Description Code: \_\_\_\_

Judicial State: \_\_\_\_\_ District: \_\_\_\_\_

Sentence type: \_\_\_\_ \*  
Sentence Term: \_\_\_\_\_☐ Federal☐ Local \*☐ Felony☐ Misdemeanor☐ Plea☐ Trial

In-Jail	Suspended	Probation
Years	Months	Years
_____	_____	_____

Fines: \$ \_\_\_\_\_

## D. Recovery / Restitution / Potential Economic Loss Prevented (PELP) \* ♦

## Recovery

☐ Federal ☐ Local

## Restitution

☐ Court Ordered  
☐ Pretrial Agreement

Code *	Amount	Code *	Amount
_____	\$ _____	_____	\$ _____
_____	\$ _____	_____	\$ _____
_____	\$ _____	_____	\$ _____

## E. Civil / Rico Matters

Also Complete Section I

Date of Complaint: \_\_\_\_/\_\_\_\_/\_\_\_\_

Judgment \_\_\_\_\_

Judicial Outcome \_\_\_\_\_ \* ♦

Fines: \$ \_\_\_\_\_

Suspension: \_\_\_\_\_

Years	Months
_____	_____

## F. AFA Payment

Amount: \$ \_\_\_\_\_

## G. Administrative Sanctions

Type:

☐ Suspension☐ Debarment☐ Injunction

Length:

☐ Permanent☐ Years Months

Years	Months
_____	_____

Subject Description Code: \_\_\_\_ \*

## I. U.S. Code Violations

Title	Section	Counts	Title	Section	Counts
18	1543	1			

Required for Sections A, E, and H (Conviction)

Optional for Section B (arrest)

## Acquittal / Dismissal / Pretrial Diversion

Date of Indictment or Information: \_\_\_\_/\_\_\_\_/\_\_\_\_

Subject Description Code: 87D-86783-Sub E-51-137  
29B-NY-260329-E-51-147

## J. Subject Information (Required for Sections A, B, D (Restitution), E, F, G, and H)

Name	Date of Birth	Race *	Sex	Place of Birth (if available)	Social Security No. (if available)
BURTON KAPLAN	10/11/33	W	M		

☒ Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (if checked, also submit form FD-515a)

\* Additional information may be added by attaching another form or a plain sheet of paper for additional entries.

♦ Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)

• See codes on reverse side. 1-87D-86783 (Sub E-51) 1-87D-86783 (Sub E) 1-66-8442 1-Sub C-3

mpk



Date 7/8/93

☐ Birth ☐ Credit ☒ Criminal ☐ Death ☐ INS ☐ Marriage\* ☐ Motor Vehicle ☐ Other \_\_\_\_\_

To OPC Buded \_\_\_\_\_

Re SA C-3 x3233 File number NY 870-86783 Sub E-51 b6 b7C

Name and aliases of subject, applicant, or employee, and spouse

KAPLAN, BURTON

Addresses aka BURT KAPLAN

Residence \_\_\_\_\_

Business \_\_\_\_\_

Former \_\_\_\_\_

\*Date and place of marriage (if applicable) \_\_\_\_\_

Race	Sex	Age	Height	Weight	Hair	Eyes
<u>W</u>	<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	<u>60</u>	<u>5'9</u>	<u>med</u>	<u>BLK</u>	

Birth date	Birthplace
<u>10/11/33</u>	<u>298-NY-259359-E61-146</u> <u>820 86783 ON E 51-137</u>

Arrest Number	Fingerprint classification	Criminal specialty
		<u>SEARCHED</u> <u>SERIALIZED</u> <u>INDEXED</u> <u>FILED</u>

Social Security Number	Drivers License Number
	<u>JUL 20 1993</u> <u>FBI - NEW YORK</u>

Specific information desired  
CRIMINAL HISTORY  
Results of check

d. Dual  
7/8/93 870-86783 (Sub E-51)

----- PREVIOUS VEHICLES/PLATES/INSURANCE INFO ASSOCIATED WITH THIS RECORD -----  
88 LINCO BLACK 40SD WEIGHT:003488 FUEL: GAS CYL 06 VIN# 1LN8M9841JY873358  
EXPIRES: 09/28/91 VALID: 09/13/90 INS: 622 - GEN ACC INS CO OF AMER

INS: 622 - GEN ACC INS CO OF AMER ON FILE AS OF 08/04/90

INS: 622 - GEN ACC INS CO OF AMER ON FILE AS OF 09/05/89

INS: 622 - GEN ACC INS CO OF AMER ON FILE AS OF 09/29/88

----- REGISTRATION ACTIVITIES -----  
REG SUSPENDED ON: 09/16/91 FOR 031 DAYS - REASON: INS. NOT IN EFFECT  
COMPLIANCE DATE: 09/16/91 CLEARED ON: 09/16/91

\*\*\* ENTER NEXT FUNCTION CODE MENU \*\*\*

\*\*\* REGISTRATION RECORD EXPANSION \*\*\*

TODAY'S DATE IS 7/08/93

PLATE: HAU175 TYPE: PASSENGER

REGISTRANT INFORMATION:

VIN#: 1LNBW9841JY873358

KAPLAN, BURTON

DOB: 10/11/33

88 LINCO BLACK 40SD WEIGHT: 003488

SEX: M

FUEL: GAS CYL: 06

2147 85TH ST

COUNTY: KING

EXPIRES: 09/28/91 VALID: 09/13/90

BROOKLYN NY

ZIP: 11214

INS: 622 - GEN ACC INS CO OF AMER

MI#: K01574 24647 316523-33

----- PREVIOUS VEHICLES/PLATES/INSURANCE INFO ASSOCIATED WITH THIS RECORD -----

VOLUNTARY PLATE SURRENDER ON: 10/01/91

INSURANCE TERMINATED EFFECTIVE 10/01/91

FILED BY GA INSURANCE CO OF NY ON 11/08/91

INSURANCE TERMINATED EFFECTIVE 07/05/91

FILED BY GA INSURANCE CO OF NY ON 07/22/91

INSURANCE TERMINATED EFFECTIVE 10/29/90

FILED BY GA INSURANCE CO OF NY ON 11/23/90

\*\*\* ENTER NEXT FUNCTION CODE NEXT \*\*\* ( RECORD CONTINUED ON FOLLOWING PAGE )

CONVICTION: PASSED RED LIGHT  
VIOLATION: 04/27/1990 CONVICTED ON: 05/15/1990  
LOCATION: NEW YORK COUNTY, MANHATTAN N. ADM. ADJ.  
PENALTY: FINE- \$50 POINTS: 3  
COMM VEH: UNKNOWN HAZMAT: UNKNOWN

\*\*\* ENTER NEXT FUNCTION CODE MENU \*\*\*

TODAY'S DATE: 7/08/1993 TIME: 21:17:09  
\*RECORD EXPANSION FOR: KAPLAN,BURTON

MI #: K01574 24647 316523-33  
KAPLAN,BURTON  
2147 85 ST  
BROOKLYN NY 11214  
RESTRICTIONS: CORRECTIVE LENSES  
LICENSE CLASS: \*D\*

CLIENT ID#: 990343807  
DOB: 10/11/1933 SEX: M  
HEIGHT: 5-9 EYE COLOR: BROWN  
COUNTY: KING

STATUS: VALID EXPIRATION: 10/11/1996

\*\*\*\*\* CONVICTIONS/BAIL FORFEITURES \*\*\*\*\*  
CONVICTION: PASSED RED LIGHT  
VIOLATION: 10/16/1992 CONVICTED ON: 10/26/1992  
LOCATION: KINGS COUNTY, BROOKLYN ADMINISTRATIVE ADJ.  
PENALTY: FINE- \$50 POINTS: 3  
COMM VEH: UNKNOWN HAZMAT: UNKNOWN

\*\*\* ENTER NEXT FUNCTION CODE NEXT \*\*\* ( RECORD CONTINUED ON FOLLOWING PAGE )

Date 7/8/93

☐ Birth ☐ Credit ☐ Criminal ☐ Death ☐ INS ☐ Marriage\* ☒ Motor Vehicle ☐ Other \_\_\_\_\_

To JPC Bided

Ret SA C3 NY 87D-86783 (Sub E-5) File number

Name and aliases of subject, applicant, or employee, and spouse

KAPLAN, BURTON

Addresses

Residence aka BURT KAPLAN

Business \_\_\_\_\_

Former \_\_\_\_\_

\*Date and place of marriage (if applicable) \_\_\_\_\_

Race	Sex	Age	Height	Weight	Hair	Eyes
<u>W</u>	<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	<u>60</u>	<u>5'9"</u>	<u>Med</u>	<u>BLK</u>	<u>Brn</u>

Birth date 10/11/33 Birthplace \_\_\_\_\_

Arrest Number \_\_\_\_\_ Fingerprint classification \_\_\_\_\_ Criminal specialty \_\_\_\_\_

Social Security Number \_\_\_\_\_ Drivers License Number \_\_\_\_\_

Specific information desired

DMV - DL info + Desc. of ALL Vehicle Registered to Subject.

Results of check

1) mv  
7/8/93  
1) done  
1) MR

870-86783 (Sub E 51) 136  
29B-NY-259359-65-145

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 20 1993	
FBI - NEW YORK	

[Faint, mostly illegible text and markings covering the majority of the page, including a large handwritten 'X' in the lower right quadrant.]

United States District Court		SOUTHERN DISTRICT OF NEW YORK	
UNITED STATES OF AMERICA v. BURTON KAPLAN		DOCKET NO. 93 CR 570	MAGISTRATE CASE NO.
WARRANT ISSUED ON THE BASIS OF: <input type="checkbox"/> Indictment <input type="checkbox"/> Information <input checked="" type="checkbox"/> Order of Court <input type="checkbox"/> Complaint		NAME AND ADDRESS OF INDIVIDUAL TO BE ARRESTED  BURTON KAPLAN	
TO: UNITED STATES MARSHAL OR ANY OTHER AUTHORIZED OFFICER		DISTRICT OF ARREST  CITY	
YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the nearest available magistrate to answer to the charge(s) listed below.			
DESCRIPTION OF CHARGES			
CONSPIRACY TO TRAFFIC IN FRAUDULENT PASSPORT.			
IN VIOLATION OF	UNITED STATES CODE TITLE 18.	SECTION 371; 1543	
BAIL FIXED BY COURT	OTHER CONDITIONS OF RELEASE		
ORDERED BY HON. LEONARD BERNIKOW, MAGISTRATE JUDGE CLERK OF COURT	SIGNATURE (JUDGE/MAGISTRATE)  (BY) DEPUTY CLERK <i>David M. Ostry</i>		DATE 7/8/93
JAMES M. PARKISON			DATE ISSUED 7/13/93
RETURN			
This warrant was received and executed with the arrest of the above-named person.			
DATE RECEIVED	NAME AND TITLE OF ARRESTING OFFICER	SIGNATURE OF ARRESTING OFFICER	
DATE EXECUTED			



29B-NY-259359-E51-144  
870-86783 (Sub E-51)-135

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 19 1993	
FBI - NEW YORK	

e. On or about September 10, 1991, a co-conspirator flew to Lima, Peru to obtain a fraudulent passport for the defendant BURTON KAPLAN.

f. On or about September 17, 1991, the defendant BURTON KAPLAN received, at his business in Staten Island, New York from, a second fraudulent passport.

g. On or about October 1, 1991, at 1501 Broadway, New York, New York, the defendant BURTON KAPLAN paid approximately \$4700 for the fraudulent passport referred to in Overt Act (f) above.

h. In or about October, 1991, the defendant BURTON KAPLAN purchased a third fraudulently prepared passport.

(Title 18, United States Code, Section 371.)

\_\_\_\_\_  
FOREPERSON

*Mary Jo White*  
\_\_\_\_\_  
MARY JO WHITE  
United States Attorney

### Means and Methods of the Conspiracy

3. The defendant BURTON KAPLAN would and did devise a scheme to obtain thousands of fraudulently prepared passports in order to assist foreign citizens to enter the United States illegally.

4. The defendant BURTON KAPLAN would and did purchase fraudulent passports from co-conspirators not named herein as defendants in furtherance of this illegal scheme.

### Overt Acts

5. In furtherance of the conspiracy and to effect the illegal objects thereof, the following overt acts, among others, were committed in the Southern District of New York and elsewhere:

a. In or about mid-1991, the defendant BURTON KAPLAN told a co-conspirator that he wanted to obtain a vast supply of fraudulent passports.

b. On or about June 15, 1991, the defendant BURTON KAPLAN and a co-conspirator from China met with other co-conspirators in Miami Beach, Florida to negotiate the sale of fraudulent passports.

c. In or about the Summer of 1991, the defendant BURTON KAPLAN obtained a fraudulently prepared passport from one of his co-conspirators.

d. In or about the Summer of 1991, at 1501 Broadway, New York, New York, the defendant BURTON KAPLAN had a meeting with co-conspirators.

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA

-v-

BURTON KAPLAN,  
a/k/a "Burt Kaplan,"

Defendant.

INDICTMENT

93 Cr.

COUNT ONE

The Grand Jury charges:

The Fraudulent Passport Conspiracy

1. From in or about 1991, until and including the date of the filing of this Indictment, in the Southern District of New York and elsewhere, BURTON KAPLAN, a/k/a "Burt Kaplan," the defendant, and others known and unknown to the Grand Jury, unlawfully, wilfully, and knowingly did combine, conspire, confederate and agree together and with each other to commit certain offenses against the United States, to wit, violations of 18 U.S.C. § 1543.

2. It was a part and object of the conspiracy that BURTON KAPLAN, a/k/a "Burt Kaplan," the defendant, and others known and unknown to the Grand Jury, would and did falsely make, forge, mutilate and alter passports and instruments purporting to be passports, with the intent that the same be used, and to furnish those passports to other individuals for their use, in violation of 18 U.S.C. § 1543.